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Funding Opportunity Title:	Sustainable Landscapes, Clean Energy and Adaptation
Announcement Type:	Call for Concept Papers Addendum to Global Development Alliance (GDA) Annual Program Statement (APS)
Funding Opportunity Number:	Addendum to APS-OAA-12-000003
Issuance Date:	November 28, 2012
Deadline for Questions before the Submission of Concept Papers:	December 14, 2012, at 4:00 pm EST
Deadline for Submission Concept Papers:	January 31, 2013, at 4:00 pm EST

Note: This is an Addendum to the 2012 GDA APS, Concept Papers must satisfy the requirements set forth in the 2012 GDA APS. Prospective applicants should thoroughly review the 2012 GDA APS which can be found at: <http://idea.usaid.gov/gp/aps..>

The U.S. Agency for International Development (USAID) promotes public-private alliances as a business model that can bring new partners and resources into development assistance and thus further the impact of its programs. This model has been very successful, forging more than 1,613 partnerships and leveraging an average of 4.8 private sector dollars for every USAID dollar spent, totaling an approximate \$1.9 billion leveraged in all active USAID sectors. Recently, USAID's Administrator challenged the Agency to increase the number and value of private sector partnerships substantially, both in Washington and in the field.

USAID's Office of Global Climate Change (GCC), located in the Bureau for Economic Growth, Education and Environment (E3), and other regional and pillar bureaus of USAID/Washington are seeking to engage with interested parties to develop initiatives that can pool funds, talent, and resources from both USAID and the private sector to:

- 1) Invest in sustainable business practices that reduce emissions from deforestation and forest degradation by addressing the major drivers of deforestation (Sustainable Landscapes)
- 2) Invest in clean energy and energy efficiency to support low emissions sustainable development (Clean Energy), and
- 3) Invest in climate change adaptation activities that build the resilience of vulnerable communities, businesses and/or their supply chains (Adaptation).

USAID's Office of Innovation and Development Alliances (IDEA) has developed an Annual Program Statement (APS-OAA-12-000003) as a framework to guide the development of Global Development Alliances (GDAs) and the solicitation of Concept Papers. The APS is generic and is intended to be used by any USAID Operating Unit for forming public private partnerships. The referenced APS can be found at the following website: <http://idea.usaid.gov/gp/aps>.

This Addendum is intended to supplement the GDA APS by providing background, goals and criteria specific to E3/GCC. At this time, we are soliciting Concept Papers.

SECTION I - FUNDING OPPORTUNITY DESCRIPTION

Overview

As a Washington-based Operating Unit, the Bureau for Economic Growth, Education and Environment (E3), formerly known as the Bureau for Economic Growth, Agriculture and Trade (EGAT), supports transformational development by promoting broad-based economic growth to reduce poverty. E3 technical leadership and field support assists USAID missions, guides U.S. implementation of Presidential initiatives and Congressional priorities related to trade, clean energy and global climate change, water and sanitation, basic education, gender integration, and women's leadership. The Bureau also coordinates an increasing number of international public-private partnerships. The Development Credit Authority (DCA), managed by E3, attracts local capital for development at a highly leveraged rate.

The E3 Bureau, and in particular the Office of Global Climate Change, provides technical leadership on matters related to adaptation, clean energy, and sustainable land use and forestry. The Bureau supports USAID field missions, participates in UNFCCC negotiations and the broader global environmental dialogue, and partners with NGOs and private sector entities to advance climate adaptation and mitigation, conservation, sustainable management and improved resource governance worldwide. In addition, the Agency's regional bureaus and other operating units may also consider and review concept papers/applications submitted under this Addendum to the GDA APS.

USAID Strategic Priorities

As a development agency established to address poverty, economic growth and governance concerns, USAID must always strive to maximize the development impact of all its programs. With this in mind, to address emerging concerns regarding global climate change, USAID seeks to integrate climate into all program sectors, as a principal component of sustainable development. To this end, USAID published its Climate Change and Development Strategy in January of 2012. This strategy articulates the goal to enable countries to accelerate their transition to climate resilient, low emissions development.

USAID's climate change program uses three pillars of funding—"Sustainable Landscapes" investments in land use practices that stop, slow, and reverse emissions from deforestation and degradation of forests and other landscapes; "Clean Energy" investments to establish a foundation for low carbon energy systems; and "Adaptation" to increase the resilience of people, places and livelihoods to a changing climate. This Addendum to the GDA APS seeks private sector partners that wish to collaborate with USAID on programs that realize these three pillars while pursuing their own business or philanthropic interests.

1) Sustainable Landscapes

The goal of USAID's sustainable landscapes programming is to slow, halt, and reverse deforestation, ultimately leading to a reduction in emissions. Deforestation and forest degradation are major sources of carbon dioxide emissions globally accounting for an estimated 15% of annual global emissions. In addition to commercial products such as timber, resins, medicinal plants and wild game, forests sequester and store carbon and harbor relatively high biodiversity. USAID is currently prioritizing investments in globally important forested landscapes that seek to contribute to national strategic processes addressing climate change in partner countries. Priority areas for investment are: creation or implementation of national Low Emission Development Strategies (LEDS) or REDD+ strategies; readiness for carbon financing, including pay-for-performance programs; and REDD+ field demonstration activities linked to sub-national or national frameworks. USAID investments target a small number of countries and regions with high priority forest landscapes, high "demonstration value" activities or MRV systems for forest emissions and market readiness.

2) Clean Energy

The goal of USAID's clean energy programming is to establish the foundation for low carbon energy systems towards the goal of low emissions development. USAID is currently prioritizing work with partner countries that are a mix of existing major greenhouse gas emitters and those projected to become major emitters on a business-as-usual development pathway. Priority is given to countries implementing key reforms that are preconditions to successful clean energy development and/or are demonstrating regional leadership on clean energy issues. Programming priorities include a focus on regional energy markets, capitalizing on renewable energy potential, and leveraging private sector finance.

3) Adaptation

The goal of USAID's adaptation assistance is to reduce the vulnerability of human or natural systems and livelihoods to the impacts of climate change and related risks. Assistance seeks to support work in three main areas: Science and analysis; adaptation governance; and identification and implementation of climate solutions. USAID prioritizes work with least developed countries, small-island developing states, and glacier-dependent countries.

SECTION II- AWARD INFORMATION

Based on this Addendum to the GDA APS, USAID anticipates entering into 1 or 2 partnerships in the 3-5 year, \$5-10 million dollar life of project range. Assuming a 1:1 match, this represents a \$2.5 - \$5 million dollar USAID contribution. The number of awards and amount of available funding is subject to change.

USAID will be substantially involved in the program and management performance of any awarded Cooperative Agreement through technical collaboration on specified program interventions. Examples of USAID responsibilities are as follows. USAID (including the Agreement Officer's Representative (AOR) for a grant or cooperative agreement provided through USAID) might provide:

- a) Collaboration in establishing annual work objectives and approval of an annual work plan.
- b) Collaboration in assessing progress and identifying issues that arise, which may impact the success of the program.

- c) Collaboration in determining corrective actions, where necessary.
- d) Approval of key personnel and any subsequent changes in the positions during the life of the award.
- e) Establishment of a monitoring and evaluation system.

SECTION III- Instructions, Evaluation Factors, Review Process

INSTRUCTIONS

All Concept Papers should not exceed 5 pages in total and should contain the following (as per Annual Program Statement, Section III, Pages 13 and 14):

Completed Summary Form:

1. Name and address of organization;
2. Type of organization (e.g., for-profit, non-profit, university, etc.);
3. Contact point (lead contact name; relevant telephone, and e-mail information. Regional or multi-country applications should provide the name of at least one local Partner for each country targeted in the program);
4. Names of other organizations (federal and non-federal as well as any other USAID Offices) to whom you are/have submitted the application and/or are funding the Proposed activity; and
5. Signature of authorized representative of the applicant.

Technical Information:

1. Concise title and objective of proposed activity;
2. Discussion of the objective, the method of approach, the amount of effort to be employed, the anticipated results, and how the work will help accomplish USAID's priorities.

Applicants may select one or more of the strategic priorities described in Section 1. The concept paper must address elements of specific priorities, as follows:

2.A. Concept papers for Sustainable Landscapes must describe creating public-private partnerships to address and reduce the current pressures on forests (including non-sustainable agricultural practices, illegal logging, and more) so that emissions from deforestation and forest degradation are significantly reduced.

- Proposed activities should further work to advance at least one of the following priorities:
 - Creation and implementation of national strategic plans on climate change mitigation, including LEDS and REDD+ assessments
 - Emissions inventories for land use
 - Social and environmental safeguards of REDD+
 - Readiness for pay-for-performance programs, including carbon markets

- National policy reforms with emissions benefits
- REDD+ field demonstration activities linked to sub-national or national frameworks
- Concept Papers should address a major direct or indirect driver of deforestation, including, but not limited to, the conversion of forests to agricultural crop land, the under-utilization of degraded lands, the lack of systems to pay landowners for ecosystem services, etc.;

2.B. Concept papers under Clean Energy must describe creating public-private partnerships that leverage investments in clean energy and energy efficiency so that emissions from the energy sector and end-use energy consumption are significantly reduced.

- Proposed activities should work toward at least one of the following priority areas:
 - Assistance with the creation, enhancement and/or implementation of national strategic plans on climate change mitigation or low emission development
 - Enhancement of governments' capacity to promote low emission development through institutional, policy, regulatory and market reforms
 - Integration and institutionalization of GHG mitigation objectives in development planning
 - Monitoring, Reporting and Verification (MRV) including actions to promote emissions inventories, carbon accounting, and carbon market readiness
 - Actions to reduce long-term emission trends

2.C. Concept papers under Adaptation objective must describe creating public-private partnerships that invest in technologies, tools, or practices that address the adverse, long-term impacts of climate change, resulting in increased resiliency for vulnerable communities, including more stable and diverse livelihoods and improved prospects for businesses' long-term viability and profitability.

- Concept Papers must show how proposed activities work toward fulfilling the goal of USAID adaptation efforts: to reduce the vulnerability of people, places, and livelihoods to the impacts of climate change and climate-related risks by integrating effective adaptive strategies into key development sectors, including agriculture and food security, infrastructure, health, water, disaster preparedness, and conflict prevention.
- Proposed activities should work toward at least one of the following priority areas:

- Science & analysis to inform decision making on topics related to climate, including context-specific vulnerability assessments
 - Improved governance to address climate-related risks
 - Piloting, evaluating and implementing effective adaptation methods and systems to address climate-related risks.
3. Type of support the applicant requests from USAID (e.g., funds, facilities, equipment, materials, personnel resources, etc.).

Supporting Information:

1. Proposed estimated cost;
2. Brief cost breakdown (e.g., salaries, travel, etc.);
3. Proposed amount of the applicant's financial as well as in-kind participation (clearly identify which resources are cash and which are in-kind and provide information on the nature of the in-kind contributions);
4. Proposed amount of prospective or existing partner (or partners') financial as well as in-kind participation;
5. Proposed duration of the activity; and
6. Brief description of applicant's, as well as prospective or existing partner (or partners'), previous work and experience.

EVALUATION FACTORS

All Concept Papers will be reviewed against the following factors:

1. Alignment with Programming Priorities: USAID will evaluate based upon how well the proposed activities aligns with USAID/E3/GCC's priorities as outlined in this addendum.

2. Development Impact: Feasibility, Sustainability and Scalability: USAID will evaluate the prospective development impact of the proposed alliance, paying particular attention to:

a) **Feasibility:** The alliance should have well-defined and achievable objectives. The enabling environment and the proposed combination of partner assets, resources and expertise should be sufficient to yield significant impact on a specific and important development challenge. Financial and technical approaches must be viable. In addition, the involvement of local partners and/or beneficiaries in the development and implementation of the alliance should be clear and sufficient enough to indicate the alliance will be successful.

b) **Sustainability:** The alliance should demonstrate the potential to yield sustainable solutions to the development challenge being addressed. The private sector resource partner should express a business/philanthropic case that demonstrates their long-term vision and commitment to the targeted markets and communities. In addition, the proposed alliance should engage and strengthen the human and institutional capacity of local partners and local beneficiary organizations (private, non-profit, public).

c) **Scalability:** USAID will consider whether and how the proposed approaches can be scaled or replicated in a manner that would offer a broader set of impacts at the national, regional or global level.

3. Leverage: The Concept Paper should demonstrate the commitment of the resource partners to meet the leverage requirements. The Concept Paper should also demonstrate how the proposed leverage will enhance results and increase the reach, efficiency, effectiveness and sustainable impact of the proposed alliance. USAID seeks to support programs that provide the best cost per development impact proposition.

Proposed alliances should be consistent with USAID legal and policy restrictions, including those set forth in USAID's Automated Directives System (ADS) and in the Foreign Assistance Act of 1961.

REVIEW PROCESS

USAID will review submitted concept papers based on the above evaluation factors and will contact applicants on whether or not to provide full applications. USAID will give additional instructions to those incited to prepare full applications. During this entire process, resource partners and USAID may discuss ideas or engage in conversation in order to vet mutual interests and potential working relationships.

Do not submit a full application unless requested by USAID. Only upon receipt of positive USAID feedback on the concept paper is the applicant requested to put together a full application for USAID consideration, using the criteria below.

SECTION IV- ELIGIBILITY INFORMATION

See GDA APS Section II. Applicant Eligibility and Related Considerations.

SECTION V- APPLICATION AND SUBMISSION INFORMATION

As mentioned earlier, USAID's Office of Innovation and Development Alliances has developed an Annual Program Statement (APS) as a framework to guide the development of Global Development Alliances (GDAs). This document provides definitions of partners and alliances and provides minimum criteria and legal requirements of partnerships.

USAID will contact selected applicants to request a full application based on the information provided in the concept paper.

QUESTIONS AND ANSWERS:

Questions before the submission of concept papers are due no later than December 14, 2012, at 4:00 pm EST local time Washington, DC.

Responses/Answers are anticipated within a week of submission of questions.

CONCEPT PAPER DUE DATES:

The submissions of concept papers are due no later than Thursday, January 31, 2013, at 4:00 pm EST local time Washington, DC.

All Concept Papers must be in English and submitted electronically by email by January 31, 2013 to:

Lexine Hansen, E3/AA: lhansen@usaid.gov
Todd Harding, E3/GCC: tharding@usaid.gov
G. Serapis Irby, M/OAA: girby@usaid.gov

With a copy to:

gda@usaid.gov

All correspondence must include the relevant APS number and title.

Full applications are not required until the Agreement Officer or Specialists specifically sends a request to you or your organizations.

SECTION VI - OTHER INFORMATION

Issuance of this addendum to the GDA APS does not constitute an award or commitment on the part of the U.S. Government, nor does it commit the U.S. Government to pay for costs incurred in the preparation and submission of an application.

USAID reserves the right to fund any or none of the applications submitted. Further, USAID reserves the right to make no awards as a result of this addendum to the GDA APS.